

040104

16569 U.S. PTO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on **April 1, 2004** in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EV 381562948 US**, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signed: \_\_\_\_\_

  
Sylvia Castillo

Attorney Docket No. LAM2P474

First Named Inventor: Carl Woods

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Continuation, Divisional or Continuation-in-part application)

16834 U.S. PTO  
10/817620  
040104

Commissioner for Patents  
**Mail Stop: Patent Applications**  
Alexandria, VA 22313-1450

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Carl Woods

For: **SUBSTRATE MENISCUS INTERFACE AND METHODS FOR OPERATION**

This application is a ☐ Continuation ☐ Divisional ☒ Continuation-in-part  
of prior Application No.: 10/261,839, filed September 30, 2002 from which priority under 35 U.S.C.  
§120 is claimed; and

**Application Elements:**

- ☒ 32 Pages of Specification, Claims and Abstract
- ☒ 11 Sheets of **Informal** Drawings
- ☒ 02 Pages of Combined Declaration and Power of Attorney
  - ☒ Newly executed (original or copy)
    - ☐ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is  
herein supplied is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
    - ☐ Deletion of inventors Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

**Accompanying Application Parts:**

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Combined Statement Under 37 C.F.R. §3.73(b) Establishing Right of Assignee to Take Action  
and Power of Attorney by Assignee of Entire Interest and Revocation of Prior Powers
  - ☐ Appendix A ☐ Appendix B ☐ Appendix C
- ☒ Information Disclosure Statement
  - ☒ PTO-1449 ☒ Copies of IDS Citations (Foreign References)
- ☐ Preliminary Amendment (*New claims numbered after highest original claim in prior  
application.*)
- ☒ Return Receipt Postcard
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other: \_\_\_\_\_

Extension of Time for Prior Pending Application

☐ A Petition for Extension of Time is being concurrently filed in the prior pending application. A copy of the Petition for Extension of Time is attached.

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>SMALL ENTITY</u> <u>RATE</u> <u>FEE</u>	<u>OR</u>	<u>LARGE ENTITY</u> <u>RATE</u> <u>FEE</u>
BASIC FEE			\$385 \$	OR	\$770 \$770.00
TOTAL CLAIMS	<u>20</u> -20 = <u>00</u>		x09= \$	OR	x18= \$
INDEP CLAIMS	<u>03</u> -03 = <u>00</u>		x43= \$	OR	x86= \$
[ ] Multiple Dependent Claim Presented			\$145= \$	OR	\$290= \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total <u>\$770.00</u>

☒ Check No. 10758 in the amount of \$810.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P474).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

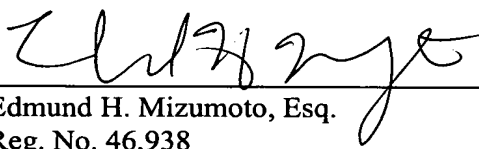
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805.(Order No. LAM2P474)

☒ Please send correspondence to the following address:

**Edmund H. Mizumoto, Esq.**  
**MARTINE & PENILLA, LLP**  
**710 Lakeway Drive, Suite 170**  
**Sunnyvale, CA 94085**  
**Customer Number 25920**

Date: April 1, 2004

  
\_\_\_\_\_  
Edmund H. Mizumoto, Esq.  
Reg. No. 46,938

PATENT

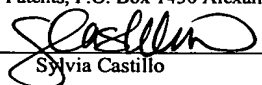
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>In re</u> the application of	)	
Carl WOODS	)	Examiner: Unassigned
	)	
Application No. [To be assigned]	)	Art Unit: Unassigned
	)	
Filed: April 1, 2004	)	Docket No. LAM2P474
	)	
For: SUBSTRATE MENISCUS INTERFACE	)	Date: April 1, 2004
<u>AND METHODS FOR OPERATION</u>	)	

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Signed: \_\_\_\_\_

  
Sylvia Castillo


REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP

  
Edmund H. Mizumoto, Esq.  
Reg. No. 46,938

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